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1 **Altered prefrontal cortex responses in older adults with subjective memory**  
2 **complaints and dementia during dual-task gait: an fNIRS study**

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26 **ABBREVIATION LIST**

- 27 DTG - Dual-task gait
- 28 STG - Single-task gait
- 29 SMC - Subjective memory complaints
- 30 fNIRS - Functional near-infrared spectroscopy
- 31 PFC - Prefrontal cortex
- 32 MoCA - Montreal cognitive assessment
- 33 MBLL - Modified Beer-Lambert law
- 34 EEG - Electroencephalography
- 35 HOMER2 - Haemodynamic optical measured evoked response 2
- 36 ANOVA - Analysis of variance
- 37 O<sub>2</sub>Hb - Oxyhaemoglobin
- 38 HHb - Deoxyhaemoglobin

39 **ABSTRACT**

40 People with cognitive impairments show deficits during physical performances such as gait, in  
41 particular during cognitively-challenging conditions (i.e. dual-task gait [DTG]). However it is  
42 unclear if people at risk of dementia, such as those with subjective memory complaints (SMC),  
43 also display gait and central deficits associated with DTG. In this study, we investigated the  
44 effects of single- and dual-task gait (STG and DTG), on left prefrontal cortex (PFC) activation  
45 in elderly people with subjective memory complaints (SMC) and Dementia. 58 older adults  
46 (aged 65-94 yrs; 26 Healthy; 23 SMC; 9 Dementia) were recruited. Gait spatiotemporal  
47 characteristics (i.e. stride velocity and length) were assessed using an instrumented walkway  
48 during STG and DTG. Single-channel functional near-infrared spectroscopy over the left PFC  
49 was used to measure changes in oxyhaemoglobin (O<sub>2</sub>Hb) during gait. Stride velocity and length  
50 during STG (all  $p < 0.05$ ) and DTG (all  $p < 0.000$ ) were significantly impaired in people with  
51 Dementia compared to Healthy and SMC individuals. No differences were observed between  
52 Healthy and SMC. For STG, a greater increase in O<sub>2</sub>Hb ( $p < 0.05$ ) was observed in those with  
53 Dementia compared to the Healthy and SMC, while no differences were observed between  
54 Healthy and SMC. A significant increase and decline in O<sub>2</sub>Hb was observed during DTG in  
55 the SMC and Dementia groups respectively, compared to Healthy. Our findings indicate an  
56 altered pattern of cerebral haemodynamic response of the left PFC in DTG in people with SMC  
57 and Dementia, which may suggest that central changes precede functional impairments in  
58 people with SMC.

59

60 **KEYWORDS**

61 Gait kinematics; brain activation; neurodegeneration; cognitive demands; neuroimaging

62

## 63 INTRODUCTION

64 Ageing is associated with declines in cognitive functioning and is a significant risk factor for  
65 neurodegenerative conditions such as dementia. In the normal ageing process, it is expected  
66 that some cognitive declines would be apparent, typically exemplified by increased reaction  
67 time and reduced abilities related to attention and executive functioning (Salthouse, 2004).  
68 However, in dementia the trajectory of cognitive decline is magnified, significantly impairing  
69 activities of daily living and resulting in poorer quality of life. Considering the rapid increase  
70 in population ageing worldwide, there have been increased efforts to raise awareness, with an  
71 emphasis on lifestyle and dietary modifications, to mitigate the risks associated age-related  
72 cognitive declines and dementia (Simons *et al.*, 2006; Solfrizzi *et al.*, 2008; Di Marco *et al.*,  
73 2014). However, identifying older individuals at greater risk of cognitive declines and dementia  
74 remains a challenge particularly in the prodromal stages, due to the absence of a clear clinical  
75 biomarker that would allow for early detection (Ahmed *et al.*, 2014).

76 The assessment of physical movement, such as gait, has gained interest as a simple method to  
77 test for cognitive-motor functioning particularly in older adults (Ijmker & Lamoth, 2012;  
78 Morris *et al.*, 2016; Tian *et al.*, 2017). The control of gait is typically thought to be autonomous  
79 that stems from both spinal and supraspinal centres of the central nervous system to maintain  
80 the continuous gait cycle during movement, and balance and postural control in relation to the  
81 environment in which the individual is walking (e.g. going up or down a hill) (Takakusaki,  
82 2017). However there is a cognitive element to gait that involves attention and executive  
83 functioning resources to produce optimal gait (Montero-Odasso *et al.*, 2012).

84 To investigate the cognitive-motor processes associated with gait, single- and dual-task gait  
85 (STG and DTG) paradigms have been previously used. DTG uses a concurrent cognitive task  
86 (e.g. word association or counting backwards) during gait, to determine the level of

87 deterioration of gait performance compared to STG (i.e. normal walking) (Howell *et al.*, 2016;  
88 Smith *et al.*, 2016; Li *et al.*, 2018). The reduction, or cost, in gait performance during DTG has  
89 been hypothesised to be caused by a reduction in attentional resources associated with  
90 performing two tasks concurrently (Boisgontier *et al.*, 2013; Nascimbeni *et al.*, 2015).

91 In healthy older individuals and those with cognitive deficits, impaired DTG performance have  
92 been consistently reported (Hausdorff *et al.*, 2008; Taylor *et al.*, 2013). Portable functional  
93 neuroimaging modalities such as functional near-infrared spectroscopy (fNIRS) have  
94 demonstrated that prefrontal cortex O<sub>2</sub>Hb is increased, even in simple walking conditions, in  
95 older compared to younger adults (Mirelman *et al.*, 2017). During DT paradigms, increased  
96 cortical activity, particularly in the PFC (Beurskens *et al.*, 2014; Meester *et al.*, 2014), has been  
97 associated with increased attentional processing in older adults compared to young adults.  
98 Given the role of the PFC in attentional allocation, this evidence lends support to the hypothesis  
99 that DT activities which involve a walking component, lead to an increase in cognitive demand  
100 where more attentional resources or demands are necessary to maintain DT activities.

101 Preliminary evidence have suggested that DTG paradigms may be sensitive to detect changes  
102 in cognitive functioning, particularly when cognitive impairments are not yet apparent  
103 (Beauchet *et al.*, 2017). In this case, people with subjective memory complaints (SMC), those  
104 with reported memory impairments, but no clinical indication of memory deficits, may serve  
105 as an ideal population to determine if indeed DTG performance differ from age-matched  
106 controls with no memory complaints and individuals with a known memory impairment.  
107 Individuals with mild cognitive impairment have demonstrated increased PFC activation  
108 during DTG, associated with poorer executive function performance compared to age-matched  
109 controls (Doi *et al.*, 2013), but to date limited evidence on DTG performance exists in people  
110 with SMC. To the best of our knowledge, no neuroimaging evidence have been reported in  
111 relation to DTG performance in people with SMC.

112 In this pilot study, we compared spatiotemporal gait characteristics (i.e. stride length and  
113 velocity) of people with SMC, Dementia and healthy age-matched controls during STG and  
114 DTG conditions. We additionally compared the haemodynamic response of the left PFC during  
115 STG and DTG of all three groups. We hypothesized that people with SMC and Dementia would  
116 have impaired performance in gait outcomes compared to healthy age-matched controls during  
117 STG and DTG. We further hypothesized that the haemodynamic response of the left PFC  
118 would be greater in the SMC and Dementia groups compared to healthy controls during both  
119 gait conditions.

120

## 121 **MATERIAL AND METHODS**

### 122 *Participants*

123 In total 58 older participants (aged 65-94 yrs; 26 Healthy; 23 SMC; 9 Dementia) were recruited  
124 from the community and assisted living facilities within the Melbourne, Australia metropolitan  
125 area and surrounding regions. To be included in this study, all participants needed to be  
126 physically healthy and could walk at least 10m without assistance. Individuals with history of  
127 stroke, head trauma, alcohol or drug dependency, current clinical diagnosis of severe  
128 depression or anxiety were excluded. Participants with SMC were determined via verbal  
129 confirmation to the question “do you feel like your memory is becoming worse?” and had to  
130 provide three examples of day to day issues that have occurred regarding their memory.  
131 Additionally participants within the SMC group needed to score greater than 24 points on the  
132 Montreal Cognitive Assessment (MoCA) to rule out mild cognitive impairments (MCI).  
133 Participants with Dementia were required to have been provided with a diagnosis prior to  
134 inclusion in the study and have a MoCA score of less than 24. All participants or their carers  
135 gave written informed consent prior to participating in this study. This study was approved by

136 the Deakin University Human Research Ethics Committee (DUHREC 2017-054) and  
137 conducted in accordance with the Helsinki Declaration.

138

### 139 *Cognitive Assessment*

140 The MoCA is a validated 30-point test system designed to screen for cognitive impairments  
141 (Larner, 2012). It assesses several cognitive domains that includes memory recall, visuospatial  
142 memory, language, attention, concentration and working memory. In this study, all participants  
143 assigned to the Dementia group attained a combined score for all cognitive domains of lower  
144 than 24 points.

145

### 146 *Gait performance*

147 Both STG and DTG performance was assessed on a 4.87m instrumented walkway  
148 (ZenoMetrics LLC, Peekskill, NY, USA, sampled at 120 Hz with a 0.5 cm spatial resolution).  
149 The instrumented walkway measured spatiotemporal gait characteristics, in particular stride  
150 length and velocity that was used as indices of gait performance in this study. All participants  
151 completed a total of 8 trials (8 passes away and back to the starting point) over the walkway  
152 using their preferred walking speed. All participants first completed 4 of the trials of STG,  
153 followed by 4 trials of DTG (counting backwards taking off 7 from a pre-randomised list of 3-  
154 digit numbers). The 4 STG trials were completed first to avoid any influence on gait and  
155 haemodynamic responses of the DTG trials on STG trials. Prior to the STG, all participants  
156 were instructed to “*walk as you would normally walk*” across the instrumented walkway. Prior  
157 to the DTG, all participants were instructed to “*do your best to maintain your normal walking*  
158 *speed*” and “*to continue counting if you think you made a mistake*”. The number of counting  
159 responses during DTG was recorded. A schematic diagram of the setup is shown in Fig 1. All

160 steps recorded under each condition were pooled for each participant and the mean of the  
161 pooled steps are reported as outcomes.

162

### 163 *Left PFC measures*

164 A portable single-channel fNIRS device (Portalite, Artinis Medical Systems, The Netherlands)  
165 was placed over the left PFC that corresponded approximately to the F3 region (based on 10-  
166 20 EEG system). The portable fNIRS device emits NIR light at two wavelengths (760 and  
167 850nm) to detect changes in oxygenated (O<sub>2</sub>Hb) and deoxygenated (HHb) haemoglobin  
168 separately. Based on the assumption that NIR light is permeable to bodily tissue, the modified  
169 Beer-Lambert law (MBLL) was used to determine the attenuation of NIR light in proportion  
170 to the regional change in cerebral O<sub>2</sub>Hb and HHb. The MBLL describes the attenuation and  
171 scattering of NIR light as it passes through biological tissue, which underpins the concept of  
172 fNIRS (Delpy *et al.*, 1988). Prior to each trial, all participants stood quietly in an unassisted  
173 upright position with hands by the side and looking straight ahead for 30s to establish a baseline  
174 haemodynamic response. After 30s of baseline measurement, participants were instructed to  
175 walk towards the “X” on the other end of the instrumented walkway, walk around the “X”, and  
176 back towards the start line. In total 4 trials were performed for each gait condition, with each  
177 participant randomly assigned to start with either STG or DTG.

178

## 179 **RESULTS AND STATISTICAL ANALYSES**

### 180 *Data processing and statistical analysis*

181 For all fNIRS measures, raw O<sub>2</sub>Hb and HHb signals were collected using the proprietary  
182 software provided with the Portalite (Oxysoft 3.2.51.4 x64, Artinis Medical Systems, The

183 Netherlands) and processed using HOMER2 (MATLAB-based optical imaging toolbox). Prior  
184 to pre-processing, all raw fNIRS data were visually inspected for motion artefacts between 10  
185 and 40s time-window follow commencement of the gait tasks. This time window corresponds  
186 to the peak fNIRS response which was used for further analysis. Following visual inspection,  
187 the raw data was pre-processed using a motion artefact detection and correction algorithm (i.e.  
188 principal component analysis [PCA]) (Brigadoi *et al.*, 2014), and the averaged peak change in  
189 O<sub>2</sub>Hb and HHb (as defined by highest O<sub>2</sub>Hb and lowest HHb value for each trial less pre-gait  
190 baseline values) over a 30s time window was used to compare between GROUPS (Controls vs  
191 SMC vs Dementia) and GAIT conditions (STG vs DTG). The pre-processing pipeline in  
192 HOMER2 for fNIRS signals is shown in Fig 2.

193 A one-way analysis of variance (ANOVA) was used to determine significant differences in  
194 participant demographic data and total number of counting responses during DTG.  
195 Additionally a repeated measures ANOVA was used to compare within-group (GAIT - STG  
196 vs DTG) and between-group (GROUP - Controls vs SMC vs Dementia) factors in step length  
197 and velocity and cerebral haemodynamic responses (O<sub>2</sub>Hb and HHb). Post-hoc analysis was  
198 done using Tukey's honest significant difference (Tukey's HSD). An alpha level of P<0.05  
199 was set as the level of significance between comparisons. All data analyses were conducted  
200 using Statistical Package for the Social Sciences v25 (SPSS, IBM Inc, USA). All results are  
201 presented as Mean ± Standard Deviation (SD) and scatter plot of individual data points.

202

### 203 *Participant demographics*

204 All Participant's demographic details are shown in Table 1. One-way ANOVA showed that  
205 participants in the Dementia group were significantly older (P < .001) and had significantly  
206 lower MoCA scores (P < .001) compared to both Controls and SMC groups. No significant

207 differences were observed between Dementia and Controls or SMC groups for, height, weight  
208 and education level.

209

### 210 *Step length and velocity*

211 The comparisons of step length and velocity during STG and DTG, and number of counting  
212 responses between groups are shown in Fig 3. Repeated measures ANOVA showed significant  
213 main effects for GAIT conditions ( $F_{1,55} = 10.13$ ,  $P = .002$ ) and GROUPS ( $F_{2,55} = 63.53$ ,  $P <$   
214  $.001$ ) for step length, and similarly for step velocity (GAIT -  $F_{1,55} = 19.00$ ,  $P < .001$ ; GROUP -  
215  $F_{2,55} = 44.36$ ,  $P < .001$ ).

216 Post-hoc analyses revealed a significantly lower step length (Fig 3A) and velocity (Fig 3B) for  
217 STG in the Dementia group (STG step length  $47.1 \pm 13.1$  cm; velocity  $70.8 \pm 15.6$  cm/s, both  
218  $P < .001$ ) compared to Controls (STG step length  $75.6 \pm 7.5$  cm; velocity  $122.8 \pm 18.9$  cm/s)  
219 and SMC (STG step length  $71.24 \pm 8.9$  cm; velocity  $118.9 \pm 16.7$  cm/s) groups. This was  
220 similar in DTG with the Dementia group showing significantly lower step length ( $45.3 \pm 11.3$   
221 cm,  $P < .001$ ) and velocity ( $73.6 \pm 11.7$  cm/s,  $P < .001$ ) compared to Controls (DTG step length  
222  $67.3 \pm 6.9$  cm; velocity  $96.7 \pm 14.9$  cm/sec) and SMC (DTG step length  $63.1 \pm 7.2$  cm; velocity  
223  $96.7 \pm 11.2$  cm/sec) groups.

224 Within-group comparisons showed no significant differences between STG and DTG step  
225 length and velocity in the Dementia group. However, a significant reduction in step length  
226 (STG vs DTG, Control  $75.6 \pm 7.5$  vs  $67.3 \pm 6.9$  cm,  $P < .001$ ; SMC  $71.2 \pm 8.9$  vs  $63.1 \pm 7.2$   
227 cm,  $P < .001$ ) and velocity (STG vs DTG, Control  $122.8 \pm 18.9$  vs  $96.7 \pm 14.9$  cm/s,  $P < .001$ ;  
228 SMC  $118.3 \pm 16.7$  vs  $96.7 \pm 11.3$  cm/s,  $P < .001$ ) between STG and DTG in both Control and  
229 SMC groups were observed.

230 Fig 3C shows the total number of counting responses for all DTG trials in each group. One-  
231 way ANOVA showed a significant between-group difference ( $F_{2,57} = 4.49$ ,  $P = 0.16$ ) with the  
232 Dementia group ( $21.2 \pm 12.4$  total responses) having significantly lower responses compared  
233 to Controls ( $39.5 \pm 21.9$ ,  $P < .001$ ) and SMC ( $43.9 \pm 19.4$ ,  $P < .001$ ) groups. No significant  
234 difference was observed between Controls and SMC groups.

235

### 236 *Change in O<sub>2</sub>Hb and HHb*

237 Fig 4 shows the change in O<sub>2</sub>Hb and HHb of the left PFC during STG and DTG in all groups.  
238 Repeated measures ANOVA showed significant main effects for GAIT conditions ( $F_{1, 55} =$   
239  $23.3$ ,  $P < .001$ ) and GROUP ( $F_{2, 53} = 25.6$ ,  $P < .001$ ) for O<sub>2</sub>Hb, however for a signifi  $F_{1, 53} =$   
240  $3.87$ ,  $P = .04$ ; GROUP -  $F_{2, 53} = 4.59$ ,  $P = .04$ ).

241 For measures of O<sub>2</sub>Hb, post-hoc analyses showed a significant increase in O<sub>2</sub>Hb during DTG  
242 in the Control ( $0.41 \pm 0.12 \Delta\mu\text{mol}$ ,  $P < .001$ ) and SMC ( $0.91 \pm 0.40 \Delta\mu\text{mol}$ ,  $P < .001$ ) groups  
243 compared to STG (Controls  $0.25 \pm 0.07 \Delta\mu\text{mol}$ ; SMC  $0.23 \pm 0.05 \Delta\mu\text{mol}$ ). Between-group  
244 comparisons further indicate that the SMC group ( $0.90 \pm 0.40 \Delta\mu\text{mol}$ ,  $P < .001$ ) showed a  
245 greater increase in O<sub>2</sub>Hb during the DTG task compared to Controls ( $0.41 \pm 0.15 \Delta\mu\text{mol}$ ). For  
246 the Dementia group, an increase in O<sub>2</sub>Hb was observed in STG ( $0.51 \pm 0.11 \Delta\mu\text{mol}$ ,  $P < .001$ )  
247 compared to Control and SMC groups (Controls  $0.25 \pm 0.07 \Delta\mu\text{mol}$ ; SMC  $0.23 \pm 0.05 \Delta\mu\text{mol}$ ),  
248 however a significant reduction in O<sub>2</sub>Hb was observed during DTG ( $0.23 \pm 0.08 \Delta\mu\text{mol}$ ,  $P <$   
249  $.001$ ) compared to Control and SMC groups (Controls  $0.41 \pm 0.15 \Delta\mu\text{mol}$ ; SMC  $0.91 \pm 0.40$   
250  $\Delta\mu\text{mol}$ ).

251 For measures of HHb, post-hoc analysis showed a significant decrease in HHb in the SMC  
252 group ( $-0.08 \pm 0.04 \Delta\mu\text{mol}$ ,  $P < .001$ ) in the DTG condition compared to STG ( $-0.03 \pm 0.02$   
253  $\Delta\mu\text{mol}$ ). Between-group comparisons further showed a significant reduction in HHb during

254 DTG in the SMC group ( $-0.08 \pm 0.04 \Delta\mu\text{mol}$ ,  $P < .001$ ) compared to Controls ( $-0.02 \pm 0.01$   
255  $\Delta\mu\text{mol}$ ) and Dementia groups ( $-0.03 \pm 0.01 \Delta\mu\text{mol}$ ).

256

## 257 **DISCUSSION**

258 This study aimed to determine if (1) people with SMC and Dementia would have impaired  
259 STG and DTG performance as measured by changes in step length and velocity, and (2)  
260 cerebral haemodynamic responses associated with STG and DTG would differ between SMC  
261 and Dementia, compared to healthy age-matched controls. In partial support of our first  
262 hypothesis, that gait kinematics would be impaired in people with SMC and Dementia, our  
263 results showed impaired step length and velocity in people with Dementia for STG and DTG  
264 compared to healthy control and SMC groups. In terms of our second hypothesis, individuals  
265 with SMC and Dementia displayed a differential response in left PFC to DTG. Those with  
266 SMC demonstrated a significant increase in left PFC activation during DTG, whilst people with  
267 dementia showed an increase and decrease in left PFC activation during STG and DTG  
268 respectively.

269

### 270 *Gait performance during STG and DTG and total counting responses*

271 Dual-tasking paradigms such as DTG have increasingly been used to investigate the cognitive-  
272 motor relationship in various neurodegenerative conditions such as Huntington's disease  
273 (Purcell *et al.*, 2020; Radovanovic *et al.*, 2020), Parkinson's disease (Fok *et al.*, 2010;  
274 Rochester *et al.*, 2014) and Dementia (Muir *et al.*, 2012; Montero-Odasso *et al.*, 2017). The  
275 premise of DTG is such that doing 2 tasks simultaneously (i.e. a cognitive and gait task) will  
276 result in greater utilization of cognitive resources than either tasks performed alone (Ebersbach  
277 *et al.*, 1995). In line with previous studies, we showed that the Dementia group had poorer gait

278 performances in the STG and DTG conditions compared to healthy control and SMC groups  
279 (Muir *et al.*, 2012; Montero-Odasso *et al.*, 2017). It is now fairly well-established that changes  
280 in gait parameters such as stride length, frequency and variability are key motor changes that  
281 occur alongside changes with cognitive deficits. Additionally, longitudinal studies have  
282 suggested that these changes in gait parameters are predictive of cognitive declines that may  
283 have clinical utility in early diagnosis of dementia (Cedervall *et al.*, 2014; Montero-Odasso *et*  
284 *al.*, 2017). Although, participants with dementia performed worse compared to the other  
285 groups, it should be note that there were no differences in gait spatiotemporal parameters  
286 between the STG and DTG tasks in participants with dementia. While it is unclear as to why  
287 this may be the case, a likely reason was that the participants with dementia strategized  
288 performing only the gait task, rather than devoting equal attention to both cognitive and gait  
289 tasks simultaneously.

290 Our results further indicated that participants with SMC did not show any difference in gait  
291 performance of the STG and DTG tasks compared to the healthy controls. As SMC has been  
292 previously shown to predict conversion from normal cognitive functioning to dementia (St  
293 John & Montgomery, 2002; Wang *et al.*, 2004), the ability to use functional or behavioral tests  
294 that is strongly associated with SMC remains inconclusive. While there is some evidence to  
295 show associations between gait parameters (i.e. gait variability) and SMC (Beauchet *et al.*,  
296 2017), other studies showed no associations between gait measures, but rather an association  
297 between the fear of falling and SMC (Sakurai *et al.*, 2017). It therefore remains to be seen if  
298 more robust associations can be made based on functional or behavioral tests in people with  
299 SMC. At least in our sample, spatiotemporal gait parameters and MoCA scores of the SMC  
300 group were comparable with healthy controls that precludes us from making any conclusions  
301 of gait deficiencies in people with SMC.

302

303 *Left PFC activation during STG and DTG*

304 While we did not find any differences in gait measures of STG and DTG between SMC and  
305 healthy controls, we did observe a significant increase in left PFC activation during the DTG  
306 but not the STG task between SMC and healthy controls. To the best of our knowledge, this is  
307 the first study to demonstrate such a novel finding. In people with Dementia, there is strong  
308 evidence to suggest that atrophy and reduction in left PFC function leads to memory decline  
309 and increased care-giver burden (Maillet & Rajah, 2013; Matsuoka *et al.*, 2018). Additionally  
310 gait studies further implicate the role of the left PFC in gait control and balance (Harada *et al.*,  
311 2009; Meester *et al.*, 2014). In our age-matched healthy controls, an increase in left PFC  
312 activation during DTG compared to STG was expected and indicates an increased utilization  
313 of attentional resources to cope with the demands of performing two tasks simultaneously. A  
314 recent study by Wagshul *et al.* (2019) suggested that the increase in PFC activation is likely to  
315 stem from neural inefficiency (i.e. increase PFC activation with no concomitant increase in  
316 functional performance) that was associated with reduced grey matter volume of the PFC with  
317 ageing. This effect has been consistently shown in healthy older adults during DTG (Doi *et al.*,  
318 2013; Ohsugi *et al.*, 2013; Mirelman *et al.*, 2017) or balance (Lin *et al.*, 2017; Teo *et al.*, 2018)  
319 tasks that require increased attentional demands. What was therefore surprising was that people  
320 with SMC displayed a higher level of left PFC activation, significantly greater than that of  
321 healthy controls, despite no differences in age or MoCA scores between both groups. We  
322 postulate that the increase in left PFC activity in people with SMC may indicate some form of  
323 neural compensation, as proposed by the compensation-related utilization of neural circuits  
324 hypothesis (CRUNCH) (Reuter-Lorenz & Cappell, 2008), where additional cognitive  
325 resources are needed to maintain DTG performance. If this is indeed the case, then perhaps this  
326 pattern of increased neural activity of the left PFC in people with SMC may represent very

327 early central changes in cognitive processing that precedes any observable change in motor  
328 function or behavior.

329 In the Dementia group, we observed two key findings that lend further support to our  
330 observations in the SMC group. Firstly, during STG performance, left PFC activation was  
331 significantly greater, concomitant with poorer gait performance in the Dementia group  
332 compared to healthy control and SMC groups. Similarly, this is in line with the CRUNCH  
333 framework whereby compensational and/or additional resources may be required to perform  
334 basic tasks, when cognitive abilities are compromised. Secondly, we further observed that  
335 under DTG conditions, left PFC activity in the Dementia group was reduced compared to  
336 healthy controls or SMC. This likely indicates a failure to utilize attentional resources in  
337 situations whereby cognitive demands of the task becomes increasingly overwhelming. A  
338 recent systematic review of fNIRS studies in people with mild cognitive impairment or  
339 dementia showed decreased resting state and task-related oxyhaemoglobin response (Yeung &  
340 Chan, 2020), supporting our hypothesis of reduced prefrontal cortex activation when executive  
341 function is challenged.

342

### 343 *Limitations and future directions*

344 To this end, there are several limitations that we need to acknowledge. Firstly, as we only used  
345 a single-channel fNIRS system, our study lacked the spatial resolution to measure from other  
346 key brain regions, such as the right PFC, to validate other known ageing models such as the  
347 HAROLD (hemispheric asymmetry reduction in older adults) model, which could help explain  
348 the pattern of left PFC activity that we observed. Secondly, our study may have lacked the  
349 statistical power to detect differences in STG and DTG gait performances between SMC and  
350 healthy control groups. **Thirdly, our study did not include single task performance for the**

351 cognitive task nor did we record the number of correct responses during DTG, which may  
352 provide an estimate of cognitive interference. However, considering that the focus was in  
353 people with SMC, and that both STG and DTG performance and total counting responses were  
354 similar between Controls and SMC, we suggest that cognitive interference (if any) is likely to  
355 be similar. Thus, the increase in fNIRS responses during the DTG in SMC is therefore likely  
356 to represent higher dual-task demand associated with SMC. Fourthly, our Dementia group has  
357 significantly less participants, and were older in age compared to the SMC and healthy control  
358 groups. It is also likely that the secondary task alone, during DTG, may have been perceived  
359 as more difficult to perform in the dementia group, which may be additional to the need to  
360 concurrently perform a motor and cognitive task. Finally, the lack of differences in gait  
361 parameters between Controls and SMC could be due to the lack in sensitivity of stride length  
362 and velocity in detecting specific cognitive changes. Recent evidence from a longitudinal  
363 population-based study suggests that double support time was predictive of memory decline in  
364 a large sample of older adults compared to other gait parameters (Jayakody *et al.*, 2019). We  
365 acknowledge that these limitations may potentially bias our findings. Future studies should  
366 consider using a montage sufficient to measure from both left and right PFC and with other  
367 brain regions (e.g. sensorimotor and premotor areas) to provide a more comprehensive  
368 understanding of brain activation during STG and DTG tasks.

369

### 370 *Conclusion*

371 In conclusion, our results indicate that while participants with SMC do not exhibit functional  
372 gait deficits in STG and DTG tasks, an increase in activity of the left PFC during DTG may be  
373 indicative of additional recruitment of attentional resources to maintain DTG performance that  
374 is comparable to healthy controls. In addition, a reduction in left PFC activation during DTG

375 observed with the Dementia group may be indicative of failure to utilize attentional resources  
376 during tasks that have a higher cognitive load. Overall, our findings suggest that central changes  
377 may precede functional impairments in SMC, and that fNIRS during DTG may be able to detect  
378 early attentional changes, which may lead to detriments in gait seen in people with Dementia.

379

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386 the corresponding author.

387

### 388 **Competing Interests**

389 The authors declare no actual or potential conflict of interest.

390

### 391 **Author Contribution**

392 WPT, TR and HM conceptualized the research design and secured funding from AADRF to  
393 conduct this study. NN and LV were involved in the data collection and supported WPT, TR  
394 and HM in the data analyses. All co-authors were involved in the write-up of this manuscript.

395

### 396 **Figure Captions**

397 **Fig 1.** A diagram of the study setup for the STG and DTG. A trial consists of a participant  
398 beginning at the start line, walking towards an “X” marked on the floor (1<sup>st</sup> pass), walking  
399 around the “X”, and walking back towards the start line (2<sup>nd</sup> pass). All participants performed  
400 8 trials (4x STG; 4x DTG) that consisted of a total of 16 passes one way.

401

402 **Fig 2.** The processing pipeline used to process O<sub>2</sub>Hb and HHb signals. Firstly, all raw signals  
403 were converted to changes in Optical Density (OD). A motion artefact detection algorithm was  
404 applied to identify potential motion artefacts, by identifying parts of the signal within each trial  
405 that exceeded the pre-specified thresholds. After identification of a motion artefact, a principal  
406 component analysis (PCA) filter was used to correct any potential motion artefacts identified.  
407 A bandpass filter was then applied to filter out any low and high frequency noise. Once filtered,  
408 the OD signal was converted into concentration changes using the MBLL and the concentration  
409 change was averaged over the 4 trials in each gait condition. \*note that as each participant had  
410 a different completion time for each trial, the average time of the 4 trials for each gait condition  
411 was used to set the end time range.

412

413 **Fig 3.** Comparisons between (A) Step Length, (B) Step Velocity for STG and DTG between  
414 groups and (C) total number of counting responses over 4 DTG trials. Between-group  
415 comparisons as indicated by (\*,  $P < .001$ ) showed significantly lower step length and velocity  
416 in both STG and DTG in Dementia compared to both Controls and SMC groups. Within-group  
417 comparison further showed a significantly (#,  $P < .05$ ) lower step length and velocity between  
418 STG and DTG only in the Controls and SMC groups, but not Dementia group. Total number  
419 of counting responses were significantly ( $P < 0.01$ ) lower in the Dementia group compared to  
420 Control or SMC groups.

421

422 **Fig 4.** Comparisons of (A) O<sub>2</sub>Hb and (B) HHb response to STG and DTG between Controls,  
423 SMC and Dementia groups. **The peak O<sub>2</sub>Hb response for STG for each group occurred at 21.3**  
424 **± 6.5s (Control), 25.3 ± 8.2s (SMC) and 23.6 ± 6.7s (Dementia), while for DTG the peak O<sub>2</sub>Hb**  
425 **response occurred at 17.6 ± 5.5s (Control), 18.9 ± 4.6s (SMC) and 20.4 ± 5.5s (Dementia).**  
426 Within-group comparisons (STG vs DTG) indicate a significant increase (P < .001) in O<sub>2</sub>Hb  
427 of the left PFC during DTG in both Control and SMC groups, but a significant decrease (P  
428 <.001) in O<sub>2</sub>Hb in the Dementia group. Between-group comparisons (Control vs SMC vs  
429 Dementia) showed a significant increase (P < .001) in O<sub>2</sub>Hb in the left PFC during STG in  
430 Dementia compared to Controls and SMC. However, during DTG, a significant increase in  
431 O<sub>2</sub>Hb in the left PFC was observed in the SMC group, while a significant decrease (P < .001)  
432 in O<sub>2</sub>Hb in the Dementia group was observed. Only the DTG condition performed by the SMC  
433 group elicited a significant reduction (P < .001) in HHb (as indicated by a greater negative  
434 value) when compared between- and within-groups. (#) indicates within-group (STG vs DTG)  
435 significance of P < .001, while (\*) indicates between-group significance of P < .001 with other  
436 two groups.

437

438

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